



Presentation to Ranger Groups

Indigenous Rangers Program

Expansion Round 1

NIAA – Corporate and Commercial Law Team

31 March 2024



Today's presentation

- Legal aspects of the Rangers Program funding.
- What documents are we talking about?
- What will we discuss today?
- Please ask questions!

What is a grant?

A grant is an **arrangement** where the Commonwealth provides **financial assistance**:

- under which <u>relevant money</u> / <u>other CRF money</u> is paid to a grantee, and
- helps address the Government's <u>policy outcomes</u> while assisting the <u>grantee</u> achieve its objectives.

About

The Indigenous Rangers Program funds the management of Country according to Traditional Owner objectives. Rangers use traditional knowledge, cultural practices, and Western science to manage land, river and sea Country and deliver environmental, cultural, social and economic development outcomes.

Through the Rangers Program, the Commonwealth acknowledges and supports First Nations peoples' unique, critical and continuing role to manage and protect natural and cultural heritage.

The government expanded the Rangers Program via a grant opportunity.

Funded groups deliver on Country activities including:

- engaging with community and Traditional Owners to plan land and water management activities
- fire management cultural burning and bushfire mitigation biosecurity management
- biodiversity conservation habitat and threatened species management, invasive species management, freshwater and sea Country management
- cultural heritage protection, maintenance, intergenerational knowledge language and culture transfer
- partnerships with research, education, philanthropic and commercial organisations

What are the key documents and why?

Grant Opportunity Guidelines

Head Agreement (Deed)

Project Schedule (Contract)

Additional Conditions

1. IAS: Indigenous Rangers Program Expansion Round One – process

The IAS is designed to achieve Australian Government objectives

This grant opportunity is part of the above grant program which contributes to NIAA's Outcome 1.

The NIAA works with stakeholders to plan and design the grant program according to the

Commonwealth Grants Rules and Guidelines (CGRGs).



The grant opportunity opens

We publish the grant guidelines on GrantConnect.



You complete and submit a grant application

You complete the application form and address all of the eligibility and assessment criteria to be considered for a grant.



We assess all grant applications

We check the applications against eligibility criteria and notify you if you are not eligible. We assess eligible applications against the assessment criteria including an overall consideration of value for relevant money and compare it to other applications.



We make grant recommendations

We provide advice to the decision maker on the merits of each application.



Grant decisions are made

The decision maker decides which applications are successful and approves the level of funding for each successful application.



We notify you of the outcome

We advise you of the outcome of your application.



We negotiate and enter into a grant agreement

We will enter into a grant agreement with you if successful. The type of grant agreement is based on the nature of the grant and will be proportional to the risks involved.



Delivery of grant

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



Evaluation

We may evaluate your specific grant activity and the broader Indigenous Rangers Program as a whole. We base this on information you provide to us and that we collect from various sources.

5.4 What the grant money cannot be used for

You cannot use the grant for the following:

- activities that do not clearly align to the identified outcomes or objectives of the IRP (see section 5.1).
- purposes that do not directly contribute to the outcomes of the activity, including but not limited
 to: payment of fines or loans, legal settlements, purchase of gifts, personal debts, or sitting fees
- costs incurred in the preparation of a grant application or related documentation (unless specifically agreed with the NIAA)
- activities for which other Commonwealth, state, territory or local government bodies have primary responsibility
- expenses for which other Commonwealth, state, territory or local government bodies have primary responsibility to provide the source of funding, unless otherwise specifically agreed with the NIAA
- costs which are prohibited e.g. purchase of land, retrospective costs, preparation of the grant
 application, rent for staff residential accommodation, insurance excesses, or overseas travel
 without the express written permission of the NIAA.

Head Agreement



Australian Government

National Indigenous Australians Agency

HEAD AGREEMENT FOR INDIGENOUS GRANTS

between

the Commonwealth of Australia as represented by the National Indigenous Australians Agency (ABN 30 429 895 164)

AND

[A_LegalName_L52] (ABN [A_OrgABN_L3]) and ACN/ICN [xx]

Grant System Agreement number (System ID)	[Agreement ID]
Provider reference number (System ID)	[Agreement Org ID]

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Background

- A. The Commonwealth is committed to working more closely with Indigenous Australians on the key priorities of getting children to school, adults to work and making communities safer.
- B. The Provider is committed to achieving results in these priority areas, and will work with the Commonwealth and Indigenous communities to do this.

How this Head Agreement and the Project Schedules work

- The purpose of this Head Agreement is to create a framework that governs the relationship between the Commonwealth and the Provider for all Indigenous Grants.
- This Head Agreement sets out the general terms and conditions applying to all Projects and Grants.
- A Project Schedule sets out specific terms and conditions that apply to particular Projects and Grants covered by it.
- A Project Agreement is formed if the Commonwealth approves a Grant and executes a Project Schedule with the Provider. The Commonwealth does not guarantee that any Grants will be made to the Provider during the term of the Head Agreement.
- Each Project Agreement is a separate contract between the Commonwealth and the Provider. The terms of a Project Agreement are those set out in:
 - a) the relevant Project Schedule;
 - b) this Head Agreement; and
 - any attachments to, or documents incorporated by reference into, any of those documents.
- If there is any inconsistency between these documents, the document appearing higher in the list in clause 5 will take priority.
- Each Project Agreement constitutes the parties' entire agreement relating to the Projects covered by that agreement and supersedes all previous oral or written communications, agreements and undertakings in relation to that Project.
- Project Agreements may be entered into up until the Expiry Date of the Head Agreement. The Head
 Agreement remains in force until the end of all Project Agreements entered into before the Expiry
 Date.
- The parties may mutually agree to extend a Project Agreement, by executing a contract variation under clause 139.
- Headings to clauses do form part of a Project Agreement, however notes in italics are for information only and are not binding. Words in the singular include the plural, and vice versa.

THE GRANT

Payment of Grant

11. The Commonwealth will pay a Grant in accordance with the relevant Project Agreement, subject to sufficient funds being available and the Provider complying with the Project Agreement.

Using the Grant

- 12. The Provider is to use each Grant (including any interest earned on a Grant) only in accordance with the Project Agreement. A Grant must only be used for the Project for which it is provided, unless the Commonwealth otherwise agrees in writing to an alternative use.
- 13. The Provider must hold all unspent Grant money in an account in its name and which it controls. The account must be with a deposit-taking institution authorised under the Banking Act 1959 (Cth) to carry on business in Australia.
- 14. On request from the Commonwealth, the Provider will provide an authority to the authorised deposittaking institution for the Commonwealth to obtain all details relating to any use of the account.
- 15. The Provider must manage its account and financial records so that all receipts and expenditure of each Grant are clearly identifiable and ascertainable at all times.

Tax and Invoices

- 16. Subject to clauses 17 to 19, the Provider agrees to pay all taxes, duties and government charges levied in Australia or overseas in connection with this Head Agreement and any Project Agreements.
- 17. All dollar amounts and all other consideration for a supply made under a Project Agreement are inclusive of GST, unless stated otherwise.
- 18. The Provider must notify the Commonwealth if its ABN changes or it ceases to be registered for GST.
- Invoices will be issued in accordance with the Project Schedule.

Assets

40. The Provider agrees to obtain prior written approval from the Commonwealth to use a Grant or any part of a Grant to purchase, lease or acquire an Asset. The approval may be conditional and may include requiring the Provider to provide the Commonwealth with security over the Asset at the Provider's own cost including, if any, additional conditions regarding the Assets set out in any Project Schedule. Any Assets specified in a Project Schedule are approved for the purpose of this clause (subject to any conditions also set out in the Project Schedule).

Limits on employing certain persons

68D. Unless the Commonwealth has provided its prior written consent, the Provider must not employ, engage or elect any person to a role in its management, or financial administration, or to conduct the Project, if:

Withholding

- 79. The Commonwealth may withhold some or all of a Grant payment if the Provider has not:
 - (h) conducted the Project in accordance with the Project Agreement;
 - done everything the Provider was required to do to the Commonwealth's satisfaction;
 - (a) been performing the Project to the Commonwealth's satisfaction; or
 - (b) spent the Grant in accordance with the Project Agreement.

The Commonwealth will only pay the withheld amount once the reasons for withholding the payment are resolved to the Commonwealth's satisfaction.



Australian Government

National Indigenous Australians Agency

PROJECT SCHEDULE – INDIGENOUS RANGERS GRANTS JOBS LAND AND ECONOMY PROGRAMME

Executed by

the Commonwealth of Australia as represented by the National Indigenous Australians Agency (ABN 30 429 895 164)

AND

[insert Provider name] (ABN [xx]; ACN [xx])

Grant System Agreement number (System ID)	[Insert]
Project Schedule reference number (System ID)	[Insert]
Provider reference number (System ID)	[Org ID provided by grant management system]

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Key parts – Project Schedule

- Milestones
- Deliverables
- Payments generally when a milestone is met payment triggered
- Agreement Start Date and End Date
- Project Start Date and End Date
- Variations

Head Agreements, Project Schedules, MOUs

IAS Head Agreement: clauses 1 - 10 set out the general architecture, structure and hierarchy of Project Agreements.

Head Agreement: general terms & conditions applying to all grants

Project Schedule: specific terms & conditions of a grant

Together: Project Agreement

Project Schedule takes priority over the Head Agreement, to the extent of any inconsistency

Head Agreement remains in force until the end of all Project Agreements entered into before the Expiry Date

How can you change an agreement?

Obligations and entitlements under a Project Agreement can be varied or transferred from a provider to a third party.

The Head Agreement outlines how these can occur.

139. The Head Agreement and any Project Agreements may only be varied in writing, signed by both parties.

33A. The Provider must not subcontract any aspect of a Project, including any Material Subcontractor, without the Commonwealth's prior written approval. The approval may be subject to conditions. Any subcontracting arrangements specified in a Project Schedule are approved for the purpose of this clause (subject to any conditions also set out in the Project Schedule).

Other options:

- Assignments
- Novations
- But this requires due diligence consider the need for new ORPs and ARAs

Privacy 101: Personal Information and APPs

The NIAA must protect the privacy of personal information it holds in accordance with the Australian Privacy Principles under the *Privacy Act 1988* (Privacy Act).

- *'Personal information'* means information or an opinion about an identified individual, or an individual who is reasonably identifiable, whether it is true or in a recorded form.
- 'Sensitive information' is a subset of personal information and includes information or an opinion about an individual.
- Australian Privacy Principles Common APPs that come up in our everyday work:
 - APP 3 5 Collection of personal information
 - APP 6 Use or disclosure of personal information
 - APP 10 Quality of personal information
 - APP 11 Security of personal information

Important resources

Public Governance, Performance and Accountability Act

Public Governance, Performance and Accountability Rule

Australian Government Grants – Briefing, Reporting, Evaluating and Election Commitments (RMG 412)

NIAA Accountable Authority Instructions

PGPA Act Flipchart

IAS Head Agreement

Federal Register of Legislation - Commonwealth Grants Rules and Principles 2024